

Checklist for Registration of Third-Party Audit Firm

(Note: Applicant shall submit a complete application pack with each section segregated and clearly marked.

Incomplete application shall be returned un-actioned.)

S.No.	Requirements	Category (CAT) Applied?				Status of Compliance (Complied/ Not Complied)	Initial Check of Submission of Complete Application (For Official Use)
		CAT- 1	CAT- 2	CAT- 3	CAT- 4		
1	Letter of Application on the letter head of applicant company. (Attached as per Annexure-A)						
2	Complete scanned copy of application (Soft Copy)						
Section 1 - Details of Company and its Directors/ Shareholders							
3	<p>SECP's Certificate of Incorporation of the Applicant, duly Certified True Copy (CTC) by SECP. CTC shall not be older than three (3) months from the date of receipt of application at PTA. <i>(In case of Joint Venture, the partner(s) must have local presence)</i></p> <p style="text-align: center;">OR</p> <p>Registration Certificate from Registrar of Firms, shall not be older than three (3) months from the date of receipt of application at PTA.</p>						
4	List of Directors on company letter head duly signed and stamped by authorized representative, along-with						

	SECP's Page 4 of 5 certified true copy of Form-29. CTC should not be older than three (3) months from the date of receipt of application at PTA. (Attached as per Annexure-B)						
5	General Police Verification or Police Character Certificate for each listed Director(s) and its Technical Auditor(s)/ Resource(s) .						
6	Applicant's Taxpayer Registration Certificate (Taxpayer status shall be Active)						
7	Undertaking: An undertaking shall be on judicial stamp paper of PKR 100/- duly Notarized, mentioning details (Name, Designation and seal of Company) of Executant and Date of execution. (Attached per as Annexure-C)						
Section 2 - Technical Resources/ Competence of the Applicant							
8	Details of Information/ Cyber Security qualified or certified Resource(s)/ Personnel(s) , along with their experience(s) and NDA that are currently employed with the Company. Refer: <i>Mandatory Minimum Baseline Criteria for Technical Resource(s)</i>	05 (minimum) <i>(Preferably 01 x Expert(s) in GRC, SOC, PenTest and Lead Auditor)</i>	04 (minimum) <i>(Preferably 01 x Expert(s) in GRC, SOC, PenTest and Lead Auditor)</i>	03 (minimum) <i>(Preferably Expert(s) in GRC/ SOC/ PenTest and Lead Auditor)</i>	02 (minimum) <i>(Preferably Expert(s) in GRC/ SOC/ PenTest and Lead Auditor)</i>		
9	Detailed Proposed Audit Plan/Strategy/Methodology for ensuring compliance and conduct of requirements provided in Critical Telecom Data and Infrastructure Security						

	<p>Regulations and National Cyber Security Framework.</p> <p>How does your firm ensure that audit findings are clear, evidence-based, and actionable?</p>						
10	<p>Details/ Numbers of any Information/ Cyber Security Audit(s) currently being conducted by the Company/ Technical Auditor(s) and/ or carried out in past in any Sector.</p> <p><i>Preferably relevant evidence such as Project/Audit Closure Certificate may be provided.</i></p>						
11	<p>Does the Company/Firm hold any valid Certification(s) such as ISO 9001/ 17021/ 27001/ 27701 or CMMI etc.?</p>						
12	<p>Describe your team's familiarity with telecom infrastructure, including areas such as core networks, access networks, data centers, and cloud platforms? Further, which cybersecurity and network standards or regulations does your team follow or have expertise in (e.g., ISO/IEC 27001, NIST CSF, ITU-T X.1051, CTDISR etc.)?</p>						
13	<p>Provide a list of recent audit clients (especially within telecom, finance, or critical infrastructure sectors, if any).</p>						
14	<p>Have any of your audits been reviewed, challenged, or</p>						

	<p>rejected by regulators or clients? If yes, provide details.</p>						
15	<p>Describe your firm's capability to audit IT and telecom infrastructures including:</p> <ul style="list-style-type: none"> - Core and access networks - Data centers and servers - Cloud platforms and virtual environments - Applications, APIs, and databases - Physical and environmental security controls - Operational Technology (OT) or Industrial Control Systems (ICS), if applicable 						
16	<p>Describe the tools, frameworks, and methodologies your company/firm uses to conduct vulnerability assessments and configuration or architectural reviews across network, application, and cloud environments?</p>						

Mandatory Minimum Baseline Criteria for Technical Resource(s)

1	Qualifying Certification Bodies	ISACA, (ISC)2, ISO, PCI Security Standards Council, PECB, BSI, TÜV or IRCA etc.
2	Certification(s)	<ol style="list-style-type: none"> 1. For Auditors, including but not limited to any of following certification, such as: - <ul style="list-style-type: none"> • CISSP • CISAISO 27001 Lead Auditor (PECB, BSI, TÜV, IRCA) • ISO (27001, 27701, 22301) Lead Auditor (PECB, BSI, TÜV, IRCA) • PCI Qualified Security Assessor (QSA) • PCI Internal Security Assessor (ISA) or etc. 2. For Vulnerability Assessment and Penetration Testing, including but not limited to any of following certification, such as: - <ul style="list-style-type: none"> • eLearnSecurity Junior Penetration Tester (eJPT) • eLearnSecurity Web Application Penetration Tester (eWPT / eWPTX) • Offensive Security Certified Professional (OSCP) • Offensive Security Experienced Penetration Tester (OSEP) • GIAC Penetration Tester (GPEN) • GIAC Web Application Penetration Tester (GWAPT) • EC-Council Licensed Penetration Tester (LPT Master) or etc. 3. For GRC, including but not limited to any of following certification, such as: - <ul style="list-style-type: none"> • CGRC • CISM • ISO (27001, 27701, 22301) Lead Implementer (PECB, BSI, TÜV, IRCA) or etc. 4. For SOC, including but not limited to any of following certification, such as: - <ul style="list-style-type: none"> • Any SIEM Enterprise Security Certified Admin / Analyst • EC-Council Certified SOC Engineer (CSOE) • EC-Council Certified SOC Analyst (CSA) • Microsoft Certified: Security Operations Analyst Associate (SC-200) • EC-Council Certified Threat Intelligence Analyst (CTIA) • Certified Cyber Threat Hunting Professional (CCTHP) • GIAC Certified Incident Handler (GCIH) • GIAC Security Operations Certified (GSOC) or etc.
3	Qualification(s)	<input type="checkbox"/> Bachelor/ Master/ PhD Degree in IT, Computer Science, Software Engineering, Computer Systems, Telecommunication, Electrical, Electronics from an HEC-recognized university: <ul style="list-style-type: none"> ○ Must possess at least two (01) relevant and valid certifications from recognized certification bodies. <p style="text-align: center;">OR</p> <input type="checkbox"/> Bachelor/ Master/ PhD Degree in Cyber or Information Security

[On the Letter head of the Applicant Company]

The Director General (Cyber Vigilance Division),
Pakistan Telecommunication Authority,
Headquarters, Sector F-5/1,
Islamabad, Pakistan.

Subject: Application for Enrollment of Registration of Third Party Audit Firm/Company for Information Security Audit with Pakistan Telecommunication Authority

We hereby submit our application, along with the required documents, for enrolment of **registration of our firm/company as Third Party Audit Firm/Company for Information Security Audit** in accordance with the criteria prescribed by the Pakistan Telecommunication Authority (PTA).

All information and supporting materials are provided as per the detailed requirements specified under the specified Registration Criteria.

We look forward to your consideration of our application.

Yours faithfully,

Signature: _____
Name: _____
Designation: _____
Company Name: _____
Company Seal: _____
Registered Office Address: _____
Mobile Number: _____
Email ID: _____
Date: _____

Annexure-B

List of Director(s) of the Company/ Firm

S.No	Director(s) Name	Designation (if any in the company)	Nationality	CNIC / Passport No./Company's Registration No	Addresses	Mobile Number	Email ID
1					Residence: Office:		
2					Residence: Office:		
3					Residence: Office:		
4					Residence: Office:		

UNDERTAKING

(To be printed on PKR 100/- Judicial Stamp Paper and duly notarized)

Date of Execution: [Insert Date]

I, **[Name]**, bearing CNIC No. **[_____]**, holding the designation of **[Official Designation]** at **[Company Name]**, having its registered office at **[Company Address]**, do hereby solemnly affirm and undertake the following on behalf of the Firm/Company:

1. That, the information, documents, and representations submitted to the **Pakistan Telecommunication Authority (PTA)** for enrolment as a *Registered Third Party Audit Firm/Company for Information Security Audit* are true, correct, and complete to the best of my knowledge and belief.
2. That, the Company/ Firm shall comply with all applicable **laws, acts, rules, regulations, policies, directives, and instructions** issued by the **Pakistan Telecommunication Authority (PTA)** or any other competent authority, as amended from time to time.
3. That, the Company shall maintain **strict confidentiality** of all information, data, systems, and network details obtained during or as part of any audit, and shall use such information solely for the purpose of conducting the authorized audit. The Company shall not disclose, reproduce, retain, or misuse such information in any manner whatsoever, except as permitted in writing by PTA and to PTA.
4. That the Company shall ensure that its employees, consultants, and subcontractors engaged in any PTA-assigned or -approved audit are bound by appropriate **non-disclosure and confidentiality agreements** and possess the requisite qualifications, experience, and security clearances, where applicable.
5. That the Company shall perform all audits with **professional integrity, independence, objectivity and impartiality**, and shall avoid any conflict of interest in performing such functions. The Company shall promptly disclose to PTA any situation that may give rise to a potential or actual conflict of interest.

6. That the Company is **not blacklisted, suspended, or debarred** by any public or private sector organization, in Pakistan or abroad, nor is it involved in any pending disciplinary, criminal, or fraudulent proceedings before any court or authority.

7. That the Company shall **indemnify and hold harmless** PTA, its officers, and employees against any loss, damage, or claim arising out of any negligence, misrepresentation, breach of confidentiality, or misconduct by the Company or its personnel during or in connection with any audit activity.

8. That in case of any **false statement, misrepresentation, concealment of facts, breach of undertaking, or non-compliance** with applicable laws or PTA directions, the Company shall be liable to disciplinary and legal action, including blacklisting, cancellation of registration, degradation of categorization and any other action as is determined by the Authority.

9. That the Company shall **abide by the “General Rules for Third Party Audit Firms/Companies for Information Security Audit”** and any future amendments, modifications, or additional conditions issued by the **Pakistan Telecommunication Authority (PTA)**.

10. This undertaking is executed on this **[day]** of **[month, year]** at **[city]**, in good faith, voluntarily, and without any coercion, for submission to the **Pakistan Telecommunication Authority (PTA)**.

For and on behalf of [Company Name]

Signature: _____

Name: [Full Name]

Designation: [Official Designation]

Company Name: [Company Name]

Official Seal/Stamp: _____

Date: _____

Place: _____

Notary Public: